Idea: Online Opponent Modeling with Foundation Models

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Abstract

Opponent modeling (OM) is the ability to use prior knowledge and observations in
order to predict the behavior of an opponent. On the other hand, there has been
tremendous research at the intersection of foundation models (FM) and decision-
making which holds tremendous promise for creating powerful new systems that
can interact effectively across a diverse range of applications. This paper examines
the integration of foundation models with opponent modeling and tackles one
of the open problems in FMs for decision-making (i) leveraging and collecting
decision-making datasets D_{RL} ; specifically datasets for the opponent modeling
systems in the large-scale human demonstration, which is hard to scale., and (ii)
proposing a new framework for opponent modeling: Using FMs as a guiding tool
that enhances the agent capabilities in prediction. The goal is to train a policy
from a given environment without reward signals. I propose using foundation
models (FMs), i.e., large language models (LLMs) and vision-language models
(VLMs), to achieve this goal. The LLM generates instructions that help the agent
to learn features of the behavior of the opponent and ultimately enables the agent
to exploit the opponent's strategy in the current environment $d(s_0)$. In contrast, the
VLM works as a policy-guided learning. The internet-scale knowledge capacity of
recent FMs enables automating impractical human effort in the RL framework [1].
Existing works query pre-trained LLMs for tasks to learn [2], language-level plans
[3], and language labels [4]; or use pre-trained VLMs to obtain visual feedback
[5]. ELLM [6] uses LLMs to propose new tasks for agents to learn. A line of work
[7] specifically focuses on using FMs for the Minecraft domain, while none of
the works integrate pre-trained LLM and VLM for opponent modeling. Inspired
by [8], this work is mainly motivated by two questions: How to leverage and
construct datasets for decision-making D_{RL} i.e. FMs and OM? And can we teach
RL agents to predict opponents' actions and strategies accurately in opponent
modeling environments without human supervision?

28 1 Introduction

In a Partially-Observable Stochastic Game (POSG) [9] for a basic formalization of the competitive environment. A POSG is defined by a tuple $\langle I, S, O^i_i, A, T, R^i i, \Omega^i i \rangle$, where $I = 1, 2, \ldots$, N is the set of agents. S is the state space. O^i is the observation space of agent i. $A = A^1 \times A^2 \times \times A^N$ is the joint action space. $T : S \times A \times S \rightarrow [0, 1]$ denotes the transition dynamics, which defines the probability distribution on the next state given the previous state and the joint action. $R_i : S \times A \times S \rightarrow$ R denotes the reward function of agent i. $\Omega^i : S \times A \times O^i \rightarrow [0, 1]$ denotes the agent i's observation function, which defines the probability distribution over its possible next observation given the previous state and the joint action.

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In this study, I utilize 1 to denote the controlled agent and 1 to denote the opponent and focus on modeling one opponent. I assume that the opponent's policy originates from a set of fixed policies $\Pi = {\pi^{1,k}(a^1|o^1)}_{k=1,2,...k}$, which are obtained by the scripts or RL algorithms pre-training.

40 1.1 Notations

⁴¹ The first step is to generate a set of imagined task instructions that are useful for learning behaviors. ⁴² Given the proposed set of N-numbers of task instructions $\{\delta^{(i)}\}_{i=1}...N$ and their corresponding ⁴³ initial states, our goal is to train a multi-task policy $\pi(a|s, \delta)$ that follows the instructions. To ⁴⁴ accomplish this, VLM can be used as a policy-guided learning, which trains a multi-task policy in ⁴⁵ the training environment using the obtained instructions. The policy is trained to follow the given ⁴⁶ instruction by maximizing the VLM "alignment score" between the current observation and the ⁴⁷ instruction as its reward. Specifically, the reward is defined by:

$$r_t = r(o_{tH:t}, \delta) = \frac{\phi_v(o_{tH:t})^T \phi_T(\delta)}{|\phi_v(o_{tH:t})| \cdot |\phi_T(\delta)|}$$
(1)

where o_t is the visual observation of time step t with $o_{tH:t}$ implying the sequence of observations with size of H, $|\cdot|$ refers to L2-norm of a vector, ϕ_T and ϕ_v are the text and video encoder of the

50 VLM, δ is the language instruction, and H is the length of video that the VLM takes.

$$\Sigma_{i=1}\dots E_{o}t_{\sim}\pi, \rho, P[\Sigma_{t}\hat{r}(o_{tH:t})\delta_{i})]$$
(2)

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52 **2 Opponent Modeling**

Assuming that the interaction between the controlled agent and the opponent policy $\pi^{1,k}$ generates their respective trajectories, denoted as $\tau^{1,k} = (o_0^{1,k}, a_0^{1,k}, r_0^{1,k}, o_1^{1,k}, a_1^{1,k}, r_1^{1,k}, \ldots) \in ^{-1,k}$ and $\tau^{1,k} = (o_0^{-1,k}, a_0^{-1,k}, r_0^{-1,k}, a_1^{-1,k}, r_1^{-1,k}, \ldots) \in \tau^{-1-1k}$. The resultant dataset is thus denoted as $D^k =$ $\tau^{1,k}, \tau^{1,k}$. Within the context of offline learning, we presume the availability of the dataset $D^{off} =$ $D^k_{k=1,2,k}$. Specifically, $\tau^{1,k}$ is acquired through interactions with $\pi^{-1,k}$ while employing its approximate best response policy $\pi^{1,k,*}$, usually with certain noise.

⁵⁹ The objective of OM is to use D^{off} to pre-train an opponent-aware adaptive controlled agent policy

 $M_{\theta}(a^{1}|o^{1}; D)$ and deploy M into a new environment with an unknown test opponent policy set $\Pi^{t} estt$,

such that the controlled agent achieves the maximum expected return (i.e., cumulative reward):

$$max E_{\pi}^{-1} \sim \Pi^{t} est, D^{off}, T, \Omega[\Sigma_{t=0} \dots_{\infty} R_{t}^{1} | a_{t}^{1} \sim M_{\theta}. \pi^{-1}]$$

$$(3)$$

⁶² D is the opponent's information data, sampled from Doff during offline pre-training and must be ⁶³ collected during deployment.

64 **3** How to Leverage or Collect Datasets

One key challenge in applying foundation models to decision-making lies in the dataset gap: the 65 broad datasets from vision and language D and the task-specific interactive datasets D_{RL} can be 66 of distinct modalities and structures. For instance, when D consists of videos, it generally does not 67 contain explicit action labels indicating the cause-effect relationship between different frames, nor 68 does it contain explicit reward labels indicating which videos are better than others, whereas actions 69 and rewards are key components of D_{RL} . Despite this gap, broad video and text data can be made 70 more task-specific through post-processing $(D \rightarrow D_{RL})$, leveraging hindsight relabeling of actions 71 and rewards (e.g., using human feedback). Meanwhile, decision-making datasets can be made more 72 broad and general $(D \rightarrow D_{RL})$ by combining a wide range of tasks-specific datasets (e.g., Gato). 73 Below we provide a list of examples of D and D_{RL} that can be used for research in foundation 74 models for decision-making, and propose additional approaches for bridging the gap between D and 75 D_{RL} . In the manuscript of [10] proposed bridging D and D_{RL} . To enable better datasets tailored 76 for decision-making, one can either increase the scale of D_{RL} by large-scale logging and merging 77

task-specific sets of interactive data or by relabeling D with action and reward information. One

response could also consider augmenting D_{RL} . with metadata, such as informational and instructional texts

80 and videos.

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